PTO/SB/21 (09-04)

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## **FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

| Application Number     | 10/620,061       |
|------------------------|------------------|
| Filing Date            | July 14, 2003    |
| First Named Inventor   | Eduardo BLUMWALD |
| Art Unit               | 1638             |
| Examiner Name          | Not Yet Assigned |
| Attorney Docket Number | 595792000121     |

| ENCLOSURES (Check all that apply)                      |   |  |          |  |  |  |
|--|---|--|----------|--|--|--|
| Fee Transr   | nittal Form                                 | Drawing(s)   |          | After Allowance Communication to TC                            |  |  |
| Fee /  | Attached                                    | Licensing-related Papers                               |          | Appeal Communication to Board of<br>Appeals and Interferences  |  |  |
| Amendmer   | nt/Reply                                    | Petition   | ] [      | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |  |  |
| After  | Final                                       | Petition to Convert to a Provisional Application       |          | Proprietary Information  |  |  |
| Affida   | avits/declaration(s)                        | Power of Attorney, Revocation Change of Correspondence |          | Status Letter  |  |  |
| Extension  | of Time Request                             | Terminal Disclaimer                                    | [        | X Other Enclosure(s) (please Identify below):                  |  |  |
| Express At   | pandonment Request                          | Request for Refund                                     |          | 1. PTO/SB/08a/b - 2 pgs<br>(citing 11 refs/copies of 11 refs   |  |  |
| X Informatio   | n Discl Stmt- 3pgs                          | CD, Number of CD(s)                                    |          | attached)  |  |  |
| Certified Control Document(                            | opy of Priority                             | Landscape Table on CD                                  |          |  |  |  |
|  | issing Parts/<br>Application                | Remarks  |          |  |  |  |
| Reply 37 C   | y to Missing Parts under<br>FR 1,52 or 1,53 |  |          |  |  |  |
|  |   |  |          |  |  |  |
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|  | SIGNATI                                     | JRE OF APPLICANT, ATTOR                                | RNEY, OR | AGENT  |  |  |
| Firm Name MORRISON & FOERSTER LLP O CUSTOMER NO. 20872 |   |  |          | R NO. 20872  |  |  |
| Signature  | Signature Michael Phlum                     |  |          |  |  |  |
| Printed name   | Michael R. Ward                             | ,  |          |  |  |  |
| Date May 24, 2006                                      |   |  | Reg. No. | 38,651   |  |  |

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS: AMENDMENT, Commissioner for Patents, RO. Box 1450, Alexandria, VA 22313-1450, on the date shown below. Dated: May 24, 2006 (LILIA OLSEN)

Signature:

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(Lilla Olsep)

22313-1450, on the date shown below.

Patent Docket No. 595792000121

MAY 3 0 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Eduardo BLUMWALD et al.

Serial No.: 10/620,061

Filing Date: July 14, 2003

HIGH SALT PLANTS AND USES FOR

**BIOREMEDIATION** 

Examiner: Not Yet Assigned

Group Art Unit: 1638

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. The Examiner is requested to make these documents of record. Copies of the non-patent literature documents are also submitted herewith.

Docket No.: 595792000121

Application No.: 10/620,061

Filing Date: July 14, 2003

Supplemental Information Disclosure Statement

|             | Th       | is Supplemental Information Disclosure Statement is submitted:                            |
|-------------|----------|---|
|             | With th  | ne application; accordingly, no fee or separate requirements are required.                |
|             | Before   | the mailing of a first Office Action after the filing of a Request for Continued          |
|             | Exami    | nation under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97      |
|             | (e)(1) l | nas been provided.  |
| $\boxtimes$ | Within   | three months of the application filing date or before mailing of a first Office           |
|             | Action   | on the merits; accordingly, no fee or separate requirements are required.                 |
|             | Howev    | ver, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.      |
|             | After r  | eceipt of a first Office Action on the merits but before mailing of a final Office Action |
|             | or Not   | ice of Allowance.   |
|             |          | A fee is required. A check in the amount of is enclosed.                                  |
|             |          | A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to         |
|             |          | this submission in duplicate.   |
|             |          | A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is       |
|             |          | believed to be due.   |
|             | After r  | nailing of a final Office Action or Notice of Allowance, but before payment of the        |
|             | issue f  | ee.   |
|             |          | A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the            |
|             |          | amount of is enclosed.  |
|             |          | A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal         |
|             |          | form (PTO/SB/17 is attached to this submission in duplicate.)                             |
|             |          |   |

2

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist;

Application No.: 10/620,061 3 Docket No.: 595792000121

Filing Date: July 14, 2003

Supplemental Information Disclosure Statement

(iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing <u>595792000121</u>.

Dated: May 24, 2006

Respectfully submitted,

Michael R. Ward

Registration No.: 38,651

MORRISON & FOERSTER LLP

425 Market Street

San Francisco, California 94105-2482

(415) 268-6237

Complete if Known Substitute for form 1449/PTO 10/620,061 Application Number Filing Date July 14, 2003 INFORMATION DISCLOSURE Eduardo BLUMWALD First Named Inventor STATEMENT BY APPLICANT 1638 Art Unit (Use as many sheets as necessary) **Examiner Name** Not Yet Assigned 2 595792000121 Attorney Docket Number Sheet 1

|                    | U.S. PATENT DOCUMENTS |   |                                |  |   |  |  |  |
|--------------------|-----------------------|---|--------------------------------|--|---|--|--|--|
| Examiner Initials* | Cite<br>No.1          | Document Number  Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |  |  |
|                    |                       |   |                                |  |   |  |  |  |

| FOREIGN PATENT DOCUMENTS |      |   |                     |  |   |   |  |
|--------------------------|------|---|---------------------|--|---|---|--|
| Examiner                 | Cite | Foreign Patent Document   | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear |   |  |
| Initials*                | No.1 | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                     |  |   |   |  |
|                          |      |   |                     |  |   | - |  |

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

|   | NON PATENT LITERATURE DOCUMENTS |   |  |  |  |  |  |
|---|---------------------------------|---|--|--|--|--|--|
| Examiner Initials Cite No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |                                 |   |  |  |  |  |  |
|   | 1                               | CUARTERO, Jesús et al. (1999) "Tomato and salinity." <i>Scientia Horticulture</i> , 78:83-125.  |  |  |  |  |  |
|   | 2                               | DIERIG, D.A. et al. (2001) "Registration of WCL-SL1 Salt Tolerant Lesquerella fendleri Germplasm." Crop. Sci., 41:604-605.  |  |  |  |  |  |
| ·   | 3                               | FRANCOIS, L.E. et al. (1964) "Salt Tolerance of Safflower." Agronomy Journal, 58:38-40.   |  |  |  |  |  |
| MÄSER, Pascal et al. (August 2001) "Phylogenetic Relationships w<br>4 Cation Transporter Families of Arabidopsis." <i>Plant Physiology</i> ,<br>126:1646-1667.  |                                 |   |  |  |  |  |  |
|   | 5                               | NAKAMURA, Yasukazu et al. (1998) "Structural Analysis of Arabidopsis thaliana Chromosome 5. VII. Sequence Features of the Regions of 1,013,767 bp Covered by Sixteen Physically assigned P1 and TAC Clones." DNA Research, 5:297-308.   |  |  |  |  |  |
|   | 6                               | SANTA-MARÍA, Guillermo E. et al. (December 1997) "The HAK1 Gene of Barley Is a Member of a Large Gene Family and Encodes a High-Affinity Potassium Transporter." <i>The Plant Cell</i> , 9:2281-2289.   |  |  |  |  |  |
|   | 7                               | VENEMA, K. et al. (June 20, 2003) "A Novel Intracellular K <sup>†</sup> /H <sup>†</sup> Antiporter Related to Na <sup>†</sup> /H <sup>†</sup> Antiporters Is Important for K <sup>†</sup> Ion Homeostasis in Plants." <i>The Journal of Biological Chemistry</i> , 278(25):22453-22459. |  |  |  |  |  |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |
|           |            |  |

şf-2130747

| Substitute for form 1449/PTO      |                              |                        |              | Complete if Known    |                  |  |
|-----------------------------------|------------------------------|------------------------|--------------|----------------------|------------------|--|
| Suc                               | Substitute for form 1449/PTO |                        |              | Application Number   | 10/620,061       |  |
| IN                                | IFORMATION                   | I DI                   | SCLOSURE     | Filing Date          | July 14, 2003    |  |
| STATEMENT BY APPLICANT            |                              |                        |              | First Named Inventor | Eduardo BLUMWALD |  |
|                                   |                              |                        | A 1 210/ UT  | Art Unit             | 1638             |  |
| (Use as many sheets as necessary) |                              |                        | necessary)   | Examiner Name        | Not Yet Assigned |  |
| Sheet 2 of 2                      |                              | Attorney Docket Number | 595792000121 |                      |                  |  |

| 8  | YERMANOS, D. M. et al. (1964) "Soil Salinity Effects on the Chemical Composition of the Oil and the Oil Content of Safflower Seed." <i>Agronomy Journal</i> , 54:35-37.   |  |
|----|---|--|
| 9  | YOKOI et al. (2002) "Differential expression and function of Arabidopsis thaliana NHX Na+/H+ antiporters in the salt stress response." <i>The Plant Journal</i> , 30(5):529-539.  |  |
| 10 | GenBank Accession No. 3850064, November 4, 1998, Source: Fission Yeast; Reference 1 Authors: Murphy L. and Harris, D.; Reference 2 Authors: Wood, V., Barrell, B.G., and Rajandream, M.A.; 2 pgs.   |  |
| 11 | GenBank Accession No. AF106324, March 3, 1999, located at <a href="http://www.ncbi.nlm.nih.gov/entrez/viewer.fcgi?db=nucleotide&amp;val=4324596">http://www.ncbi.nlm.nih.gov/entrez/viewer.fcgi?db=nucleotide&amp;val=4324596</a> , visited on 01/26/2005, 2 pgs. |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |
|           |            |  |

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.